

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**GLN-032US**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/527897**

INTERNATIONAL APPLICATION NO.  
**PCT/CH02/00150**

INTERNATIONAL FILING DATE  
**13 March 2002**

PRIORITY DATE CLAIMED  
**20 March 2001**

TITLE OF INVENTION **DEVICE FOR LIMITING ACCESS TO A CONFINED SPACE**

APPLICANT(S) FOR DO/EO/US **MONNIER, Jean-Luc**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto:
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 13 to 20 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☐ Form PCT/IB/308  
Return Receipt Postcard

**Deposited by U.S. Express Mail No. ER275611307US on 19 September 2003**

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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1060.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$900.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO ..... \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$720.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS PTO USE ONLY																																																																															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 900.00																																																																															
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 15%;">\$</th> <th style="width: 15%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">13 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$18.00</td> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">4 =</td> <td style="text-align: center;">1</td> <td style="text-align: center;">X \$84.00</td> <td style="text-align: right;">\$ 84.00</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: right;">+ \$270.00</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: right;">\$ 984.00</td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;"> <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.         </td> <td style="text-align: right; padding: 5px;">+ \$492.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"><b>SUBTOTAL =</b></td> <td style="text-align: right; padding: 5px;">\$ 450.00</td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;">         Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30          months from the earliest claimed priority date (37 CFR 1.49(f)).       </td> <td style="text-align: right; padding: 5px;">\$ 0.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: right; padding: 5px;">\$ 492.00</td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;">         Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be          accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property       </td> <td style="text-align: right; padding: 5px;">+ \$ 0.00</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right; padding: 5px;"><b>TOTAL FEES ENCLOSED =</b></td> <td style="text-align: right; padding: 5px;">\$ 492.00</td> <td></td> </tr> <tr> <td colspan="4" style="padding: 5px;"></td> <td style="text-align: right; padding: 5px;">Amount to be: refunded</td> <td style="text-align: center; padding: 5px;">\$</td> </tr> <tr> <td colspan="4" style="padding: 5px;"></td> <td style="text-align: right; padding: 5px;">charged</td> <td style="text-align: center; padding: 5px;">\$</td> </tr> </tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	13 =	0	X \$18.00	\$ 0.00		Independent claims	4 =	1	X \$84.00	\$ 84.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	\$ 0.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 984.00		<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+ \$492.00		<b>SUBTOTAL =</b>				\$ 450.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				\$ 0.00		<b>TOTAL NATIONAL FEE =</b>				\$ 492.00		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00		<b>TOTAL FEES ENCLOSED =</b>				\$ 492.00						Amount to be: refunded	\$					charged	\$	a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0227</u> in the amount of \$ <u>492.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0227</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> <b>information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.	
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<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>																																																																																			
SEND ALL CORRESPONDENCE TO <div style="border: 1px solid black; padding: 5px; width: 150px; float: left;">           Deborah G. VandenHoff            Van Tassel &amp; Associates            P. O. Box 2928            Bellaire, TX 77402-2928            Customer No. 26003         </div> <div style="float: right; width: 300px;"> <div style="text-align: center; margin-bottom: 10px;">             SIGNATURE:         </div> <div style="text-align: center; margin-bottom: 10px;"> <u>Deborah G. VandenHoff</u>            NAME         </div> <div style="text-align: center;"> <u>45176</u>            REGISTRATION NUMBER         </div> </div> <div style="clear: both;"></div>																																																																																			

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10/527897

12 Rec'd PCT/PTO 14 MAR 2005

15866 U.S. PTO  
031405

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	PCT/CH02/00150	Group Art Unit	
Filing Date	13 March 2002	Examiner Name	
First Named Inventor	Jean-Luc MONNIER	Attorney Docket No.	GLN-032US
Title: DEVICE FOR LIMITING ACCESS TO A CONFINED SPACE			

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 14, 2005


ATTENTION: PAULETTE R. KIDWELL

Dear Sir:

This is further to telephone conversations with Paulette Kidwell March 7-11. As requested on March 4, enclosed is a copy of the submission originally filed in the U.S. Patent Office under 35 U.S.C. § 371 on September 19, 2003.

Please contact the undersigned for any additional information. I look forward to receiving the Notice of Acceptance at your earliest convenience.

Respectfully submitted,

By:   
Deborah G. Vandenhoff  
Agent for the Applicant  
Registration No. 45,176

Van Tassel & Associates  
P.O. Box 2928  
Bellaire, TX 77402-2928  
Ph: (713) 839-1749  
Fax: (713) 839-1790

U.S. EXPRESS MAIL

U.S. Express Mail No: EO908086979US Date of Deposit: March 14, 2005  
This correspondence is being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450